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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION SERIAL NO. .... 10,749,674  
FILING DATE ..... December 30, 2003  
INVENTORSHIP ..... C. Richard Nordstrom  
GROUP ART UNIT ..... 3671  
EXAMINER ..... Not yet assigned  
ATTORNEY'S DOCKET NO. .... NO20-005  
TITLE: Auxiliary Powered Forest Clearing Implement

### TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

From: Randy A. Gregory  
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P. O. Box 31090  
Spokane, WA 99223-3018  
Telephone 509/245-3033  
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Enclosed are:

1. PTO Return Postcard Receipt
2. Transmittal Letter and Certificate of Mailing
3. Information Disclosure Statement

☒ Small Entity Status is claimed.

☐ Large Entity Status Applies.

**Authorization Re: Deposit Account:** The undersigned hereby authorizes the Patent and Trademark Office to charge Deposit Account 502881 for any fees or to credit any overpayments in connection with this application and the papers being filed herewith.

Date:

June 18, 2004

Respectfully submitted,

By:

Randy A. Gregory  
Randy A. Gregory  
Reg. No. 30,386  
Attorney/Agent for Applicant

### CERTIFICATE OF MAILING

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below-indicated date.

Dated:

6/18/04

Signature:  
Name:

Jane E. Boone  
Jane E. Boone



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Examiner .... Not yet assigned  
Attorney's Docket No. .... NO20-005  
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**INFORMATION DISCLOSURE STATEMENT**

This document is being submitted in an effort to comply with the duty of disclosure defined in the Code of Federal Regulations, specifically 37 CFR §1.56. The duty of disclosure imposed by the Patent Office extends to inventors, the applicant's attorneys, and others associated with the filing and prosecution of the patent application. It requires that all information known to such individuals which is material to the patentability of this invention be disclosed to the Patent Office.

The information being submitted is listed on the attached form PTO-1449. The listing includes patentee, patent number, and issue date. Foreign documents are identified by country, document number, and publication date. Magazine articles and other documents are identified by author, title, page no., date and place of publication. The Examiner is requested to make these citations of official record in this application. Copies are enclosed unless otherwise noted. Available translations of any foreign documents are submitted in compliance with 37 CFR §1.98(c).

The submission of this material is not an admission that the information is in fact prior art properly assertible against the application. The applicant(s) specifically reserve

the right to question or prove that this information is not properly asserted against one or more claims of this application or claims submitted in a derivative application.

**Signature of Inventor**

Date: 6/11/04

By:   
C. Richard Nordstrom

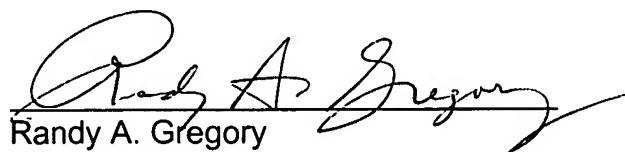
This submission is being made pursuant to the following provision(s):

1. ☐ 37 CFR §1.97(b)(1-2) - No fee is due because it is being submitted within three (3) months of the filing date or national stage entry date.
2. ☒ 37 CFR §1.97(b)(3) - No fee is due because it is being submitted before the mailing date of the first office action on the merits.
3. ☐ 37 CFR §1.97(c) - A fee is due because it is being submitted without a certification after the mailing date of the first office action on the merits and after 3 months from the filing date.
4. ☐ 37 CFR §1.97(c) & (e) - This submission is before the mailing of a final action and before mailing of a notice of allowance. No fee is due because it is being submitted with a certification as provided below.
5. ☐ 37 CFR §1.97(d) & (e) - This submission is being made before payment of the issue fee and after the mailing of a final action or after mailing of a notice of allowance. Also enclosed are a petition and the fee is either enclosed or should be withdrawn from the deposit account indicated in the transmittal letter.
6. ☒ 37 CFR §1.98(d) - Copies of some or all of the references are not being submitted because they were submitted in prior application Serial No. 09/861,287 (Pat. 6,668,880) upon which priority is claimed. Copies of any references not excepted under this provision are enclosed.
7. ☐ 37 CFR §1.97(a)(3) - An explanation of the relevance of the references is not provided except unless this box is checked. If checked, then a concise explanation of the relevance is provided in connection with each item which is not in the English language.
8. ☐ Some or all of the references are incomplete. Applicant has submitted everything in possession.

Date:

June 18, 2004

By:


  
Randy A. Gregory  
Reg. No. 30,386

**Certification Under 37 CFR §1.97(e) (if applicable) -**

The undersigned hereby certifies that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement; or, no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Randy A. Gregory  
Reg. No. 30,386

Form PTO-1449				U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. NO20-005		SERIAL NO. 10/749,674	
LIST OF ARTICLES ATTACHED BY APPLICANT (Use several sheets if necessary)						APPLICANT C. Richard Nordstrom			
						FILING DATE December 30, 2003		GROUP 3671	
U.S. PATENT DOCUMENTS									
*Examiner Initial	AA	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	3,915,209	10/28/75	Denis	144	34 R	4/15/74		
	AB	4,769,977	9/13/88	Milbourn	56	15.2	3/4/86		
	AC	5,979,518	11/9/99	Hamby	144	34.1	11/24/98		
	AD	6,135,175	10/24/00	Gaudreault, et al.	144	4.1	10/9/98		
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								
	AK								
FOREIGN PATENT DOCUMENTS									
		Document Number	Date	Country	Class	Subclass	Translation		
							Yes	No	
	AL								
	AM								
	AN								
	AO								
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)									
	AP								
	AQ								
	AR								
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									